



DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, postal address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **HUMANIZED ANTIBODIES AGAINST LEUKOCYTE ADHESION MOLECULE VLA-4**, the specification of which was filed on January 25, 1994, as Application Serial No. 08/186,269.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
			Yes ____ No ____
			Yes ____ No ____

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Date of Filing	Status
		____ Patented ____ Pending ____ Abandoned
		____ Patented ____ Pending ____ Abandoned

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

3 ✓ **WILLIAM M. SMITH**, Reg. No. 30,223

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Residence & Citizenship	City London	State/Foreign Country United Kingdom <i>GBX</i>	Country of Citizenship United States	
Post Office Address	Post Office Address 64 Solent Road, West Hampstead	City London	State/Country United Kingdom	Zip Code NW6 1TX
2-00 Full Name of Inventor 2	Last Name LÉGER	First Name OLIVIER	Middle Name or Initial J.	
Residence & Citizenship	City Hertfordshire	State/Foreign Country United Kingdom <i>GBX</i>	Country of Citizenship France	
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3-00 Full Name of Inventor 3	Last Name SALDANHA	First Name JOSÉ	Middle Name or Initial	
Residence & Citizenship	City Middlesex	State/Foreign Country United Kingdom <i>GBX</i>	Country of Citizenship United Kingdom	
Post Office Address	Post Office Address 22A Lincoln Way, Enfield	City Middlesex	State/Country United Kingdom	Zip Code EN1 1TE
4-00 Full Name of Inventor 4	Last Name JONES	First Name S.	Middle Name or Initial TARRAN	
Residence & Citizenship	City Hertfordshire	State/Foreign Country United Kingdom <i>GBX</i>	Country of Citizenship United Kingdom	
Post Office Address	Post Office Address 10 The Close, Radlett	City Hertfordshire	State/Country United Kingdom	Zip Code WD7 8HA

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1 <i>M. M. Bendig</i> MARY M. BENDIG	Signature of Inventor 2 <i>Oliver</i> OLIVIER J. LÉGER	Signature of Inventor 3 <i>José</i> JOSÉ SALDANHA
Date <i>March 16, 1994</i>	Date <i>16/03/1994</i>	Date <i>18.4.94</i>
Signature of Inventor 4 <i>S. T. Jones</i> S. TARRAN JONES		<i>José</i>
Date <i>MARCH 16th 1994</i>		

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN

ORIGINAL

Applicant or Patentee: MARY M. BENDIG ET AL.
 Serial or Patent No.: 08/186,269
 Filed or Issued: January 25, 1994
 Title: HUMANIZED ANTIBODIES AGAINST LEUKOCYTE ADHESION MOLECULE VLA-4

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN ATHENA NEUROSCIENCES, INC.
 ADDRESS OF SMALL BUSINESS CONCERN 800F Gateway Boulevard, South San Francisco, CA 94080

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled HUMANIZED ANTIBODIES AGAINST LEUKOCYTE ADHESION MOLECULE VLA-4 by inventors MARY M. BENDIG; OLIVIER J. LÉGER; JOSÉ SALDANHA; and S. TARRAN JONES described in

- ☐ the specification filed herewith
☐ application Serial No. 08/186,269, filed January 25, 1994
☐ Patent No. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME MRC COLLABORATIVE CENTRE
 ADDRESS 1-3 Burtonhole Lane, Mill Hill, London NW7 1AD, England

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

NAME _____
 ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING John Groom
 TITLE OF PERSON IF OTHER THAN OWNER President and Chief Executive Officer
 ADDRESS OF PERSON SIGNING 800F Gateway Boulevard, South San Francisco, CA 94080

SIGNATURE [Signature] DATE 3/18/94

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

ORIGINAL

Applicant or Patentee: MARY M. BENDIG ET AL
Serial or Patent No.: 08/186,269
Filed or Issued: January 25, 1994
Title: HUMANIZED ANTIBODIES AGAINST LEUKOCYTE ADHESION MOLECULE VLA-4

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION: MRB COLLABORATIVE CENTRE

ADDRESS OF NONPROFIT ORGANIZATION: 1-3 Burtonhole Lane, Mill Hill, London NW7 1AD, England

TYPE OF NONPROFIT ORGANIZATION

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE [26 USC 501(a) and 501(c)(3)]
- ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OR THE UNITED STATES OF AMERICA
(NAME OF STATE)
(CITATION OF STATUTE)
- ☒ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE [26 USC 501(a) and 501(c)(3)] IF LOCATED IN THE UNITED STATES OF AMERICA
- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE)
(CITATION OF STATUTE)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention, entitled HUMANIZED ANTIBODIES AGAINST LEUKOCYTE ADHESION MOLECULE VLA-4 by inventor(s) MARY M. BENDIG; OLIVIER J. LÉGER; JOSÉ SALDANHA; and S. TARRAN JONES described in:

- ☐ the specification filed herewith.
- ☒ application Serial No. 08/186,269, filed January 25, 1994
- ☐ Patent No. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME ATHENA NEUROSCIENCES, INC.
ADDRESS 800F Gateway Boulevard, South San Francisco, CA 94080

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

TITLE IN ORGANIZATION OF PERSON SIGNING

ADDRESS OF PERSON SIGNING

1-3 Burtonhole Lane, Mill Hill, London NW7 1AD, England

SIGNATURE

DATE April 18, 1994